

PATENT Docket No. 360322000100

### CERTIFICATE OF MAILING BY "FIRST CLASS MAIL"

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on June 12, 2001.

Examiner:

Group Art Unit:

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Christopher 3. HELGESON et al.

Serial No.:

09/760,068

Filing Date:

January 12, 2001

METHOD AND APPARATUS FOR A

**BUSINESS APPLICATIONS SERVER** MANAGEMENT SYSTEM PLATFORM

Technology Center 2600

To Be Assigned

2171

# SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97

**Assistant Commissioner for Patents** Washington, D.C. 20231

Dear Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, Applicants submit for consideration in the above-identified application the documents listed on the attached Form PTO-1449. Copies of the documents are also submitted herewith. The Examiner is requested to make these documents of record.

This Information Disclosure Statement is submitted:

$\boxtimes$	Within three months of the application filing date or before mailing of a first Office Action					
	on the n	nerits; accordingly, no fee or separate requirements are required.				
	After re	ceipt of a first Office Action on the merits but before mailing of a final Office				
	Action	or Notice of Allowance.				
		A fee is required.				
		A fee is required. Accordingly, a Fee Transmittal form (PTO/SB/17) is attached				
		to this submission in duplicate.				
		A Certification under 37 C.F.R. § 1.97(e) is provided below; accordingly; no fee				
		is believed to be due.				
	After m	ailing of a final Office Action or Notice of Allowance, but before payment of the				
	issue fe	e. Accordingly, a Petition requesting consideration of the Information Disclosure				
	Stateme	Statement, an authorization to charge our deposit account, and a Certification under				
	37 C.F.J	R. § 1.97(e) are provided herein.				

Applicants would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.

The information contained in this Supplemental Information Disclosure Statement under 37 C.F.R. § 1.97 is to the best of my knowledge and is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the unlikely event that the transmittal letter is separated from this document and the Patent Office determines that an extension and/or other relief is required, applicant petitions for any required relief including extensions of time and authorizes the Assistant Commissioner to

charge the cost of such petitions and/or other fees due in connection with the filing of this document to **Deposit Account No. 03-1952** referencing 360322000100. However, the Assistant Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Dated: June <u>12</u>, 2001

Respectfully submitted,

A. Randall Camacho

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San Francisco, California 94105-2482

Telephone: (415) 268-7886 Facsimile: (415) 268-7522

# Form PTO-1449 STATE OF MATION DISCLOSURE CITATION IN AN APPLICATION (Use several species if necessary) RECEIVED

			Sheet I of 2			
Docket Number	360322000100	Application Number	09/760,068			
Applicant		•				
Christopher S. HELGESON et al.						
Filing Date	January 12, 2001	Group Art Unit	2171			
Mailing Date	June 12, 2001					

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# **Technology Center 2600**

# U.S. PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Name	Class	Subclass	Filing Date If Appropriate
	1.	10/20/87	4,701,130	Whitney et al.			
	2.	1/23/90	4,895,518	Arnold et al.			
	3.	3/30/93	5,199,068	Cox			
•	4.	11/9/93	5,259,766	Sack et al.			
	5.	12/7/93	5,267,865	Lee et al.			
	6.	12/14/93	5,270,920	Pearse et al.			
	7.	4/5/94	5,301,270	Steinberg et al.			
	8.	5/10/94	5,310,349	Daniels et al.			
	9.	5/10/94	5,311,422	Loftin et al.			
	10.	7/5/94	5,326,270	Ostby et al.			
	11.	1/10/95	5,381,332	Wood			
	12.	3/7/95	5,395,243	Lubin et al.			
	13.	6/6/95	5,421,730	Lasker, III et al.	-		
•	14.	3/12/96	5,499,293	Behram et al.			
-	15.	8/20/96	5,548,506	Srinivasan			
	16.	1/28/97	5,597,312	Bloom et al.			
	17.	8/5/97	5,655,118	Heindel et al.			
	18.	6/2/98	5,761,063	Jannette et al.			
	19.	6/9/98	5,765,140	Knudson et al.			
	20.	8/4/98	5,788,504	Rice et al.			
	21.	8/18/98	5,795,155	Morrel-Samuels			
	22.	10/20/98	5,826,252	Wolters, Jr. et al.			
	23.	11/3/98	5,829,983	Koyama et al.			<del>- · · · · . · . · . · . ·</del>

EXAMINER:	DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not the citation conforms with MPEP 609. Draw a line through the citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.

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Form: PTO-1449				Docket Number	360322000100	Application Nu		
INFORMATION DISCLOSURE CITATION IN AN APPLICATION (Use several sheets if necessary)				Applicant	Applicant  Christopher S. HELGESON et al.			
				Filing Date	January 12, 2001	Group Art Unit 2171		
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Examiner Initials	Ref. No.	Date	Document No.	Country	Class	Subclass	Trans YES	lation NO
	24.	6/19/97	97/22096	PCT				
	25.	2/5/98	98/05018	PCT			·	
	26.	3/12/98	98/10399	PCT				
			OTHE	ER DOCUMENTS	(includin	g author, title, Da	te, Pertinent l	Pages,
Examiner Initials	Ref. No.	Title						
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		Technology Center 2600						
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**EXAMINER:** 

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not the citation conforms with MPEP 609. Draw a line through the citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.

PTO/SB/ 08 (2-92) sf-1115167